



PATENT APPLICATION  
CLIENT NO.: 2054/22

BIOSYNTHETIC BINDING PROTEIN FOR CANCER MARKER

This invention relates in general to novel biosynthetic compositions of matter and, specifically, to biosynthetic antibody binding site (BABS) proteins, and conjugates thereof. Compositions of the invention are useful, for example, in drug and toxin targeting, imaging, immunological treatment of various cancers, and in specific binding assays, affinity purification schemes, and biocatalysis.

Background of the Invention

Carcinoma of the breast is the most common malignancy among women in North America, with 130,000 new cases in 1987. Approximately one in 11 women develop breast cancer in their lifetimes, causing this malignancy to be the second leading cause of cancer death among women in the United States, after lung cancer. Although the majority of women with breast cancer present with completely resectable disease, metastatic disease remains a formidable obstacle to cure. The use of adjuvant chemotherapy or hormonal therapy has definite positive impact on disease-free survival and overall survival in selected subsets of women with completely resected primary breast cancer, but a substantial proportion of women still relapse with metastatic disease (see, e.g., Fisher et al. (1986) J. Clin. Oncol. 4:929-941; "The Scottish trial", Lancet (1987) 2:171-175). In spite of the regularly induced objective responses induced by chemotherapy and hormonal therapy in appropriately selected patients,

cure of metastatic breast cancer has not been achieved (see e.g., Aisner, et al. (187) J. Clin. Oncol. 5:1523-1533). To this end, many innovative treatment programs including the use of new agents, combinations of agents, high dose therapy (Henderson, ibid.) and increased dose intensity (Kernan et al. (1988) Clin. Invest. 259:3154-3157) have been assembled. Although improvements have been observed, routine achievement of complete remissions of metastatic disease, the first step toward cure, has not occurred. There remains a pressing need for new approaches to treatment.

The Fv fragment of an immunoglobulin molecule from IgM, and on rare occasions IgG or IgA, is produced by proteolytic cleavage and includes a non-covalent  $V_H$ - $V_L$  heterodimer representing an intact antigen binding site. A single chain Fv (sFv) polypeptide is a covalently linked  $V_H$ - $V_L$  heterodimer which is expressed from a gene fusion including  $V_H$ - and  $V_L$ -encoding genes connected by a peptide-encoding linker. See Huston et al., 1988, Proc. Nat. Aca. Sci. 85: 5879, hereby incorporated by reference.

U.S. Patent 4,753,894 discloses murine monoclonal antibodies which bind selectively to human breast cancer cells and, when conjugated to ricin A chain, exhibit a TCID 50% against at least one of MCF-7, CAMA-1, SKBR-3, or BT-20 cells of less than about 10 nM. The SKBR-3 cell line is recognized specifically by the monoclonal antibody 520C9. The antibody designated 520C9 is secreted by a murine hybridoma and is now known to recognize c-erbB-2 (Ring et al., 1991, Molecular Immunology 28:915).

### Summary of the Invention

The invention features the synthesis of a class of novel proteins known as single chain Fv (sFv) polypeptides, which include biosynthetic single polypeptide chain binding sites (BABS) and define a binding site which exhibits the immunological binding properties of an immunoglobulin molecule which binds c-erbB-2 or a c-erbB-2-related tumor antigen.

The sFv includes at least two polypeptide domains connected by a polypeptide linker spanning the distance between the carboxy (C)- terminus of one domain and the amino (N)- terminus of the other domain, the amino acid sequence of each of the polypeptide domains including a set of complementarity determining regions (CDRs) interposed between a set of framework regions (FRs), the CDRs conferring immunological binding to c-erbB-2 or a c-erbB-2 related tumor antigen.

In its broadest aspects, this invention features single-chain Fv polypeptides including biosynthetic antibody binding sites, replicable expression vectors prepared by recombinant DNA techniques which include and are capable of expressing DNA sequences encoding these polypeptides, methods for the production of these polypeptides, methods of imaging a tumor expressing c-erbB-2 or a c-erbB-2-related tumor antigen, and methods of treating a tumor using targetable therapeutic agents by virtue of conjugates or fusions with these polypeptides.

As used herein, the term "immunological binding" or "immunologically reactive" refers to the non-covalent interactions of the type that occur between an immunoglobulin molecule and an antigen for which the immunoglobulin is specific; "c-erbB-2" refers to a protein antigen expressed on the surface of tumor cells, such as breast and ovarian tumor cells, which is

an approximately 200,000 molecular weight acidic glycoprotein having an isoelectric point of about 5.3 and including the amino acid sequence set forth in SEQ ID NOS:1 and 2. A "c-erbB-2-related tumor antigen" is a protein located on the surface of tumor cells, such as breast and ovarian tumor cells, which is antigenically related to the c-erbB-2 antigen, i.e., bound by an immunoglobulin that is capable of binding the c-erbB-2 antigen, examples of such immunoglobulins being the 520C9, 741F8, and 454C11 antibodies; or which has an amino acid sequence that is at least 80% homologous, preferably 90% homologous, with the amino acid sequence of c-erbB-2. An example of a c-erbB-2 related antigen is the receptor for epidermal growth factor.

An sFv CDR that is "substantially homologous with" an immunoglobulin CDR retains at least 70%, preferably 80% or 90%, of the amino acid sequence of the immunoglobulin CDR, and also retains the immunological binding properties of the immunoglobulin.

The term "domain" refers to that sequence of a polypeptide that folds into a single globular region in its native conformation, and may exhibit discrete binding or functional properties. The term "CDR" or complementarity determining region, as used herein, refers to amino acid sequences which together define the binding affinity and specificity of the natural Fv region of a native immunoglobulin binding site, or a synthetic polypeptide which mimics this function. CDRs typically are not wholly homologous to hypervariable regions of natural Fvs, but rather may also include specific amino acids or amino acid sequences which flank the hypervariable region and have heretofore been considered framework not directly determinative of

complementarity. The term "FR" or framework region, as used herein, refers to amino acid sequences which are naturally found between CDRs in immunoglobulins.

Single-chain Fv polypeptides produced in accordance with the invention include biosynthetically-produced novel sequences of amino acids defining polypeptides designed to bind with a preselected c-erbB-2 or related antigen material. The structure of these synthetic polypeptides is unlike that of naturally occurring antibodies, fragments thereof, or known synthetic polypeptides or "chimeric antibodies" in that the regions of the single-chain Fv responsible for specificity and affinity of binding (analogous to native antibody variable ( $V_H/V_L$ ) regions) may themselves be chimeric, e.g., include amino acid sequences derived from or homologous with portions of at least two different antibody molecules from the same or different species. These analogous  $V_H$  and  $V_L$  regions are connected from the N-terminus of one to the C-terminus of the other by a peptide bonded biosynthetic linker peptide.

The invention thus provides a single-chain Fv polypeptide defining at least one complete binding site capable of binding c-erbB-2 or a c-erbB-2-related tumor antigen. One complete binding site includes a single contiguous chain of amino acids having two polypeptide domains, e.g.,  $V_H$  and  $V_L$ , connected by a amino acid linker region. An sFv that includes more than one complete binding site capable of binding a c-erbB-2-related antigen, e.g., two binding sites, will be a single contiguous chain of amino acids having four polypeptide domains, each of which is covalently linked by an amino acid linker region, e.g.,  $V_{H1}$ -linker- $V_{L1}$ -linker- $V_{H2}$ -linker- $V_{L2}$ . sFv's of the invention may include any number of complete binding sites ( $V_{Hn}$ -

linker- $V_{Ln}$ )<sub>n</sub>, where  $n > 1$ , and thus may be a single contiguous chain of amino acids having  $n$  antigen binding sites and  $n \times 2$  polypeptide domains.

In one preferred embodiment of the invention, the single-chain Fv polypeptide includes CDRs that are substantially homologous with at least a portion of the amino acid sequence of CDRs from a variable region of an immunoglobulin molecule from a first species, and includes FRs that are substantially homologous with at least a portion of the amino acid sequence of FRs from a variable region of an immunoglobulin molecule from a second species. Preferably, the first species is mouse and the second species is human.

The amino acid sequence of each of the polypeptide domains includes a set of CDRs interposed between a set of FRs. As used herein, a "set of CDRs" refers to 3 CDRs in each domain, and a "set of FRs" refers to 4 FRs in each domain. Because of structural considerations, an entire set of CDRs from an immunoglobulin may be used, but substitutions of particular residues may be desirable to improve biological activity, e.g., based on observations of conserved residues within the CDRs of immunoglobulin species which bind c-erbB-2 related antigens.

In another preferred aspect of the invention, the CDRs of the polypeptide chain have an amino acid sequence substantially homologous with the CDRs of the variable region of any one of the 520C9, 741F8, and 454C11 monoclonal antibodies. The CDRs of the 520C9 antibody are set forth in the Sequence Listing as amino acid residue numbers 31 through 35, 50 through 66, 99 through 104, 159 (157) through 169 (167), 185 (183) through 191 (189), and 224 (222) through 232 (230) in SEQ ID NOS: 3 and 4, 5, and 6 (in parentheses where different)

In one embodiment, the sFv is a humanized hybrid molecule which includes CDRs from the mouse 520C9 antibody interposed between FRs derived from one or more human immunoglobulin molecules. This hybrid sFv thus contains binding regions which are highly specific for the c-erbB-2 antigen or c-erbB-2-related antigens held in proper immunochemical binding conformation by human FR amino acid sequences, and thus will be less likely to be recognized as foreign by the human body.

In another embodiment, the polypeptide linker region includes the amino acid sequence set forth in the Sequence Listing as amino acid residue numbers 123 through 137 in SEQ ID NOS:3 and 4, and as amino acid residues 1-16 in SEQ ID NOS:11 and 12. In other embodiments, the linker sequence has the amino acid sequence set forth in the Sequence Listing as amino acid residues 121-135 in SEQ ID NOS:5 and 6, or the amino acid sequence of residues 1-15 in SEQ ID NOS:13 and 14.

The single polypeptide chain described above also may include a remotely detectable moiety bound thereto to permit imaging or radioimmunotherapy of tumors bearing a c-erbB-2 or related tumor antigen. "Remotely detectable" moiety means that the moiety that is bound to the sFv may be detected by means external to and at a distance from the site of the moiety. Preferable remotely detectable moieties for imaging include radioactive atom such as <sup>99m</sup>Technetium (<sup>99m</sup>Tc), a gamma emitter. Preferable nucleotides for high dose radioimmunotherapy include radioactive atoms such as, (<sup>90</sup>Yttrium (<sup>90</sup>Yt), <sup>131</sup>Iodine (<sup>131</sup>I) or <sup>111</sup>Indium (<sup>111</sup>In).

In addition, the sFv may include a fusion protein derived from a gene fusion, such that the expressed sFv fusion protein includes an ancillary polypeptide

that is peptide bonded to the binding site polypeptide. In some preferred aspects, the ancillary polypeptide segment also has a binding affinity for a c-erbB-2 or related antigen and may include a third and even a fourth polypeptide domain, each comprising an amino acid sequence defining CDRs interposed between FRs, and which together form a second single polypeptide chain biosynthetic binding site similar to the first described above.

In other aspects, the ancillary polypeptide sequence forms a toxin linked to the N or C terminus of the sFv, e.g., at least a toxic portion of Pseudomonas exotoxin, phytolectin, ricin, ricin A chain, or diphtheria toxin, or other related proteins known as ricin A chain-like ribosomal inhibiting proteins, i.e., proteins capable of inhibiting protein synthesis at the level of the ribosome, such as pokeweed antiviral protein, gelonin, and barley ribosomal protein inhibitor. In still another aspect, the sFv may include at least a second ancillary polypeptide or moiety which will promote internalization of the sFv.

The invention also includes a method for producing sFv, which includes the steps of providing a replicable expression vector which includes and which expresses a DNA sequence encoding the single polypeptide chain; transfecting the expression vector into a host cell to produce a transformant; and culturing the transformant to produce the sFv polypeptide.

The invention also includes a method of imaging a tumor expressing a c-erbB-2 or related tumor antigen. This method includes the steps of providing an imaging agent including a single-chain Fv polypeptide as described above, and a remotely detectable moiety linked thereto; administering the imaging agent to an



organism harboring the tumor in an amount of the imaging agent with a physiologically-compatible carrier sufficient to permit extracorporeal detection of the tumor; and detecting the location of the moiety in the subject after allowing the agent to bind to the tumor and unbound agent to have cleared sufficiently to permit visualization of the tumor image.

The invention also includes a method of treating cancer by inhibiting in vivo growth of a tumor expressing a c-erbB-2 or related antigen, the method including administering to a cancer patient a tumor inhibiting amount of a therapeutic agent which includes an sFv of the invention and at least a first moiety peptide bonded thereto, and which has the ability to limit the proliferation of a tumor cell.

Preferably, the first moiety includes a toxin or a toxic fragment thereof, e.g., ricin A; or includes a radioisotope sufficiently radioactive to inhibit proliferation of the tumor cell, e.g.,  $^{90}\text{Yt}$ ,  $^{111}\text{In}$ , or  $^{131}\text{I}$ . The therapeutic agent may further include at least a second moiety that improves its effectiveness.

The clinical administration of the single-chain Fv or appropriate sFv fusion proteins of the invention, which display the activity of native, relatively small Fv of the corresponding immunoglobulin, affords a number of advantages over the use of larger fragments or entire antibody molecules. The single chain Fv and sFv fusion proteins of this invention offer fewer cleavage sites to circulating proteolytic enzymes and thus offer greater stability. They reach their target tissue more rapidly, and are cleared more quickly from the body, which makes them ideal imaging agents for tumor detection and ideal radioimmunotherapeutic agents for tumor killing. They also have reduced non-specific binding and immunogenicity relative to murine

immunoglobulins. In addition, their expression from single genes facilitates targeting applications by fusion to other toxin proteins or peptide sequences that allow specific coupling to other molecules or drugs. In addition, some sFv analogues or fusion proteins of the invention have the ability to promote the internalization of c-erbB-2 or related antigens expressed on the surface of tumor cells when they are bound together at the cell surface. These methods permit the selective killing of cells expressing such antigens with the single-chain-Fv-toxin fusion of appropriate design. sFv-toxin fusion proteins of the invention possess 15-200-fold greater tumor cell killing activity than conjugates which include a toxin that is chemically crosslinked to whole antibody or Fab.

Overexpression of c-erbB-2 or related receptors on malignant cells thus allows targeting of sFv species to the tumor cells, whether the tumor is well-localized or metastatic. In the above cases, the internalization of sFv-toxin fusion proteins permits specific destruction of tumor cells bearing the over expressed c-erbB-2 or related antigen. In other cases, depending on the infected cells, the nature of the malignancy, or other factors operating in a given individual, the same c-erbB-2 or related receptors may be poorly internalized or even represent a static tumor antigen population. In this event, the single-chain Fv and its fusion proteins can also be used productively, but in a different mode than applicable to internalization of the toxin fusion. Where c-erbB-2 receptor/sFv or sFv fusion protein complexes are poorly internalized, toxins, such as ricin A chain, which operate cytoplasmically by inactivation of ribosomes, are not effective to kill cells. Nevertheless, single-chain

unfused Fv is useful, e.g., for imaging or radioimmunotherapy, and bispecific single-chain Fv fusion proteins of various designs, i.e., that have two distinct binding sites on the same polypeptide chain, can be used to target via the two antigens for which the molecule is specific. For example, a bispecific single-chain antibody may have specificity for both the c-erbB-2 and CD3 antigens, the latter of which is present on cytotoxic lymphocytes (CTLs). This bispecific molecule could thus mediate antibody dependent cellular cytotoxicity (ADCC) that results in CTL-induced lysis of tumor cells. Similar results could be obtained using a bispecific single-chain Fv specific for c-erbB-2 and the Fcγ receptor type I or II. Other bispecific sFv formulations include domains with c-erbB-2 specificity paired with a growth factor domain specific for hormone or growth factor receptors, such as receptors for transferrin or epidermal growth factor (EGF).

### Brief Description of the Drawings

The foregoing and other objects of this invention, the various features thereof, as well as the invention itself, may be more fully understood from the following description, when read together with the accompanying drawings in the following figures.

FIG. 1A is a schematic drawing of a DNA construct encoding an sFv of the invention, which shows the  $V_H$  and  $V_L$  encoding domains and the linker region; FIG. 1B is a schematic drawing of the structure of Fv illustrating  $V_H$  and  $V_L$  domains, each of which comprises three complementarity determining regions (CDRs) and four framework regions (FRs) for monoclonal 520C9, a well known and characterized murine monoclonal antibody specific for c-erbB-2;

FIGS. 2A-2E are schematic representations of embodiments of the invention, each of which comprises a biosynthetic single-chain Fv polypeptide which recognizes a c-erbB-2-related antigen: FIG. 2A is an sFv having a pendant leader sequence, FIG. 2B is an sFv-toxin (or other ancillary protein) construct, and FIG. 2C is a bivalent or bispecific sFv construct; FIG. 2D is a bivalent sFv having a pendant protein attached to the carboxyl-terminal end; FIG. 2E is a bivalent sFv having pendant proteins attached to both amino- and carboxyl-terminal ends.

FIG. 3 is a diagrammatic representation of the construction of a plasmid encoding the 520C9 sFv-ricin A fused immunotoxin gene; and

FIG. 4 is a graphic representation of the results of a competition assay comparing the c-erbB-2 binding activity of the 520C9 monoclonal antibody (specific for c-erbB-2), an Fab fragment of that monoclonal antibody (filled dots), and different affinity purified fractions of the single-chain-Fv binding site for

c-erbB-2 constructed from the variable regions of the 520C9 monoclonal antibody (sFv whole sample (+), sFv bound and eluted from a column of immobilized extracellular domain of C-erbB-2 (squares) and sFv flow-through (unbound, \*)).

### Detailed Description of the Invention

Disclosed are single-chain Fv's and sFv fusion proteins having affinity for a c-erbB-2-related antigen expressed at high levels on breast and ovarian cancer cells and on other tumor cells as well, in certain other forms of cancer. The polypeptides are characterized by one or more sequences of amino acids constituting a region which behaves as a biosynthetic antibody binding site. As shown in FIG. 1, the sites comprise heavy chain variable region ( $V_H$ ) 10, light chain variable region ( $V_L$ ) 14 single chains wherein  $V_H$  10 and  $V_L$  14 are attached by polypeptide linker 12. The binding domains include CDRs 2, 4, 6 and 2', 4', 6' from immunoglobulin molecules able to bind a c-erbB-2-related tumor antigen linked to FRs 32, 34, 36, 38 and 32', 34', 36' 38' which may be derived from a separate immunoglobulin. As shown in FIGS. 2A, 2B, and 2C, the BABS single polypeptide chains ( $V_H$  10,  $V_L$  14 and linker 12) may also include remotely detectable moieties and/or other polypeptide sequences 16, 18, or 22, which function e.g., as an enzyme, toxin, binding site, or site of attachment to an immobilization matrix or radioactive atom. Also disclosed are methods for producing the proteins and methods of their use.

The single-chain Fv polypeptides of the invention are biosynthetic in the sense that they are synthesized and recloned in a cellular host made to express a protein encoded by a plasmid which includes genetic sequence based in part on synthetic DNA, that is, a recombinant DNA made from ligation of plural, chemically synthesized and recloned oligonucleotides, or by ligation of fragments of DNA derived from the genome of a hybridoma, mature B cell clone, or a cDNA library derived from such natural sources. The proteins of the invention are properly characterized as

"antibody binding sites" in that these synthetic single polypeptide chains are able to refold into a 3-dimensional conformation designed specifically to have affinity for a preselected c-erbB-2 or related tumor antigen. Single-chain Fv's may be produced as described in PCT application US88/01737, which corresponds to USSN 342,449, filed February 6, 1989, and claims priority from USSN 052,800, filed May 21, 1987, assigned to Creative BioMolecules, Inc., hereby incorporated by reference. The polypeptides of the invention are antibody-like in that their structure is patterned after regions of native antibodies known to be responsible for c-erbB-2-related antigen recognition.

More specifically, the structure of these biosynthetic antibody binding sites (BABS) in the region which imparts the binding properties to the protein, is analogous to the Fv region of a natural antibody to a c-erbB-2 or related antigen. It includes a series of regions consisting of amino acids defining at least three polypeptide segments which together form the tertiary molecular structure responsible for affinity and binding. The CDRs are held in appropriate conformation by polypeptide segments analogous to the framework regions of the Fv fragment of natural antibodies.

The CDR and FR polypeptide segments are designed empirically based on sequence analysis of the Fv region of preexisting antibodies, such as those described in U.S. Patent No. 4,753,894, herein incorporated by reference, or of the DNA encoding such antibody molecules.

One such antibody, 520C9, is a murine monoclonal antibody that is known to react with an antigen expressed by the human breast cancer cell line SK-Br-3

(U.S. Patent 4,753,894). The antigen is an approximately 200 kD acidic glycoprotein that has an isoelectric point of 5.3, and is present at about 5 million copies per cell. The association constant measured using radiolabelled antibody is approximately  $4.6 \times 10^8 \text{ M}^{-1}$ .

In one embodiment, the amino acid sequences constituting the FRs of the single polypeptide chains are analogous to the FR sequences of a first preexisting antibody, for example, a human IgG. The amino acid sequences constituting the CDRs are analogous to the sequences from a second, different preexisting antibody, for example, the CDRs of a rodent or human IgG which recognizes c-erbB-2 or related antigens expressed on the surface of ovarian and breast tumor cells. Alternatively, the CDRs and FRs may be copied in their entirety from a single preexisting antibody from a cell line which may be unstable or, difficult to culture; e.g., an sFv-producing cell line that is based upon a murine, mouse/human, or human monoclonal antibody-secreting cell line.

Practice of the invention enables the design and biosynthesis of various reagents, all of which are characterized by a region having affinity for a preselected c-erbB-2 or related antigen. Other regions of the biosynthetic protein are designed with the particular planned utility of the protein in mind. Thus, if the reagent is designed for intravascular use in mammals, the FRs may include amino acid sequences that are similar or identical to at least a portion of the FR amino acids of antibodies native to that mammalian species. On the other hand, the amino acid sequences that include the CDRs may be analogous to a portion of the amino acid sequences from the hypervariable region (and certain flanking amino acids)



of an antibody having a known affinity and specificity for a c-erbB-2 or related antigen that is from, e.g., a mouse or rat, or a specific human antibody or immunoglobulin.

Other sections of native immunoglobulin protein structure, e.g.,  $C_H$  and  $C_L$ , need not be present and normally are intentionally omitted from the biosynthetic proteins of this invention. However, the single polypeptide chains of the invention may include additional polypeptide regions defining a leader sequence or a second polypeptide chain that is bioactive, e.g., a cytokine, toxin, ligand, hormone, immunoglobulin domain(s), or enzyme, or a site onto which a toxin, drug, or a remotely detectable moiety, e.g., a radionuclide, can be attached.

One useful toxin is ricin, an enzyme from the castor bean that is highly toxic, or the portion of ricin that confers toxicity. At concentrations as low as 1 ng/ml ricin efficiently inhibits the growth of cells in culture. The ricin A chain has a molecular weight of about 30,000 and is glycosylated. The ricin B chain has a larger size (about 34,000 molecular weight) and is also glycosylated. The B chain contains two galactose binding sites, one in each of the two domains in the folded subunit. The crystallographic structure for ricin shows the backbone tracing of the A chain. There is a cleft, which is probably the active site, that runs diagonally across the molecule. Also present is a mixture of  $\alpha$ -helix,  $\beta$ -structure, and irregular structure in the molecule.

The A chain enzymatically inactivates the 60S ribosomal subunit of eucaryotic ribosomes. The B chain binds to galactose-based carbohydrate residues on the surfaces of cells. It appears to be necessary to bind the toxin to the cell surface, and also facilitates and

participates in the mechanics of entry of the toxin into the cell. Because all cells have galactose-containing cell surface receptors, ricin inhibits all types of mammalian cells with nearly the same efficiency.

Ricin A chain and ricin B chain are encoded by a gene that specifies both the A and B chains. The polypeptide synthesized from the mRNA transcribed from the gene contains A chain sequences linked to B chain sequences by a 'J' (for joining) peptide. The J peptide fragment is removed by post-translational modification to release the A and B chains. However, A and B chains are still held together by the interchain disulfide bond. The preferred form of ricin is recombinant A chain as it is totally free of B chain and, when expressed in E. coli, is unglycosylated and thus cleared from the blood more slowly than the glycosylated form. The specific activity of the recombinant ricin A chain against ribosomes and that of native A chain isolated from castor bean ricin are equivalent. An amino acid sequence and corresponding nucleic acid sequence of ricin A chain is set forth in the Sequence Listing as SEQ ID NOS:7 and 8.

Recombinant ricin A chain, plant-derived ricin A chain, deglycosylated ricin A chain, or derivatives thereof, can be targeted to a cell expressing a c-erbB-2 or related antigen by the single-chain Fv polypeptide of the present invention. To do this, the sFv may be chemically crosslinked to the ricin A chain form of choice, or in a preferred embodiment a single-chain Fv-ricin A chain immunotoxin may be formed by fusing the single-chain Fv polypeptide to one or more ricin A chains through the corresponding gene fusion. By replacing the B chain of ricin with an antibody binding site to c-erbB-2 or related antigens, the A

chain is guided to such antigens on the cell surface. In this way the selective killing of tumor cells expressing these antigens can be achieved. This selectivity has been demonstrated in many cases against cells grown in culture. It depends on the presence or absence of antigens on the surface of the cells to which the immunotoxin is directed.

The invention includes the use of humanized single-chain-Fv binding sites as part of imaging methods and tumor therapies. The proteins may be administered by intravenous or intramuscular injection. Effective dosages for the single-chain Fv constructs in antitumor therapies or in effective tumor imaging can be determined by routine experimentation, keeping in mind the objective of the treatment.

The pharmaceutical forms suitable for injectable use include sterile aqueous solutions or dispersions. In all cases, the form must be sterile and must be fluid so as to be easily administered by syringe. It must be stable under the conditions of manufacture and storage, and must be preserved against the contaminating action of microorganisms. This may, for example, be achieved by filtration through a sterile 0.22 micron filter and/or lyophilization followed by sterilization with a gamma ray source.

Sterile injectable solutions are prepared by incorporating the single chain constructs of the invention in the required amount in the appropriate solvent, such as sodium phosphate-buffered saline, followed by filter sterilization. As used herein, "a physiologically acceptable carrier" includes any and all solvents, dispersion media, antibacterial and antifungal agents that are non-toxic to humans, and the like. The use of such media and agents for pharmaceutically active substances is well known in the

art. The media or agent must be compatible with maintenance of proper conformation of the single polypeptide chains, and its use in the therapeutic compositions. Supplementary active ingredients can also be incorporated into the compositions.

A bispecific single-chain Fv could also be fused to a toxin. For example, a bispecific sFv construct with specificity for c-erbB-2 and the transferrin receptor, a target that is rapidly internalized, would be an effective cytolytic agent due to internalization of the transferrin receptor/sFv-toxin complex. An sFv fusion protein may also include multiple protein domains on the same polypeptide chain, e.g., EGF-sFv-ricin A, where the EGF domain promotes internalization of toxin upon binding of sFv through interaction with the EGF receptor.

The single polypeptide chains of the invention can be labelled with radioisotopes such as Iodine-131, Indium-111, and Technetium-99m, for example. Beta emitters such as Technetium-99m and Indium-111 are preferred because they are detectable with a gamma camera and have favorable half-lives for imaging in vivo. The single polypeptide chains can be labelled, for example, with radioactive atoms and as Yttrium-90, Technetium-99m, or Indium-111 via a conjugated metal chelator (see, e.g., Khaw et al. (1980) Science 209:295; Gansow et al., U.S. Patent No. 4,472,509; Hnatowich, U.S. Patent No. 4,479,930), or by other standard means of isotope linkage to proteins known to those with skill in the art.

The invention thus provides intact binding sites for c-erbB-2 or related antigens that are analogous to  $V_H$ - $V_L$  dimers linked by a polypeptide sequence to form a composite  $(V_H$ -linker- $V_L)_n$  or  $(V_L$ -linker- $V_H)_n$  polypeptide, where n is equal to or greater than 1,

which is essentially free of the remainder of the antibody molecule, and which may include a detectable moiety or a third polypeptide sequence linked to each  $V_H$  or  $V_L$ .

FIGs. 2A-2E illustrate examples of protein structures embodying the invention that can be produced by following the teaching disclosed herein. All are characterized by at least one biosynthetic sFv single chain segment defining a binding site, and containing amino acid sequences including CDRs and FRs, often derived from different immunoglobulins, or sequences homologous to a portion of CDRs and FRs from different immunoglobulins.

FIG. 2A depicts single polypeptide chain sFv 100 comprising polypeptide 10 having an amino acid sequence analogous to the heavy chain variable region ( $V_H$ ) of a given anti-c-erbB-2 monoclonal antibody, bound through its carboxyl end to polypeptide linker 12, which in turn is bound to polypeptide 14 having an amino acid sequence analogous to the light chain variable region ( $V_L$ ) of the anti-c-erbB-2 monoclonal. Of course, the light and heavy chain domains may be in reverse order. Linker 12 should be at least long enough (e.g., about 10 to 15 amino acids or about 40 Angstroms) to permit chains 10 and 14 to assume their proper conformation and interdomain relationship.

Linker 12 may include an amino acid sequence homologous to a sequence identified as "self" by the species into which it will be introduced, if drug use is intended. Unstructured, hydrophilic amino acid sequences are preferred. Such linker sequences are set forth in the Sequence Listing as amino acid residue numbers 116 through 135 in SEQ ID NOS:3, 4, 5, and 6, which include residue Nos:3, 4, 5, and 6, which include part of the 16 amino acid linker sequences the 16 amino

acid linker sequences set forth in the Sequence Listing SEQ ID NOS:12 and 14.

Other proteins or polypeptides may be attached to either the amino or carboxyl terminus of protein of the type illustrated in FIG. 2A. As an example, leader sequence 16 is shown extending from the amino terminal end of V<sub>H</sub> domain 10.

FIG. 2B depicts another type of reagent 200 including a single polypeptide chain 100 and a pendant protein 18. Attached to the carboxyl end of the polypeptide chain 100 (which includes the FR and CDR sequences constituting an immunoglobulin binding site) is a pendant protein 18 consisting of, for example, a toxin or toxic fragment thereof, binding protein, enzyme or active enzyme fragment, or site of attachment for an imaging agent (e.g., to chelate a radioactive ion such as Indium-111).

FIG. 2C illustrates single chain polypeptide 300 including second single chain polypeptide 110 of the invention having the same or different specificity and connected via peptide linker 22 to the first single polypeptide chain 100.

FIG. 2D illustrates single chain polypeptide 400 which includes single polypeptide chains 110 and 100 linked together by linker 22, and pendant protein 18 attached to the carboxyl end of chain 110.

FIG. 2E illustrates single polypeptide chain 500 which includes chain 400 of Fig. 2D and pendant protein 20 (EGF) attached to the amino terminus of chain 400.

As is evident from Figs. 2A-E, single chain proteins of the invention may resemble beads on a string by including multiple biosynthetic binding sites, each binding site having unique specificity, or repeated sites of the same specificity to increase the avidity of the protein. As is evidenced from the

foregoing, the invention provides a large family of reagents comprising proteins, at least a portion of which defines a binding site patterned after the variable region or regions of immunoglobulins to c-erbB-2 or related antigens.

The single chain polypeptides of the invention are designed at the DNA level. The synthetic DNAs are then expressed in a suitable host system, and the expressed proteins are collected and renatured if necessary.

The ability to design the single polypeptide chains of the invention depends on the ability to identify monoclonal antibodies of interest, and then to determine the sequence of the amino acids in the variable region of these antibodies, or the DNA sequence encoding them. Hybridoma technology enables production of cell lines secreting antibody to essentially any desired substance that elicits an immune response. For example, U.S. Patent No. 4,753,894 describes some monoclonal antibodies of interest which recognize c-erbB-2 related antigens on breast cancer cells, and explains how such antibodies were obtained. One monoclonal antibody that is particularly useful for this purpose is 520C9 (Bjorn et al. (1985) Cancer Res. 45:124-1221; U.S. Patent No. 4,753,894). This antibody specifically recognizes the c-erbB-2 antigen expressed on the surface of various tumor cell lines, and exhibits very little binding to normal tissues. Alternative sources of sFv sequences with the desired specificity can take advantage of phage antibody and combinatorial library methodology. Such sequences would be based on cDNA from mice which were preimmunized with tumor cell membranes or c-erbB-2 or c-erbB-2-related antigenic fragments or peptides. (See, e.g., Clackson et al, Nature 352 624-628 (1991))

The process of designing DNA that encodes the single polypeptide chain of interest can be accomplished as follows. RNA encoding the light and heavy chains of the desired immunoglobulin can be obtained from the cytoplasm of the hybridoma producing the immunoglobulin. The mRNA can be used to prepare the cDNA for subsequent isolation of  $V_H$  and  $V_L$  genes by PCR methodology known in the art (Sambrook et al., eds., Molecular Cloning, 1989, Cold Spring Harbor Laboratories Press, NY). The N-terminal amino acid sequence of H and L chain may be independently determined by automated Edman sequencing; if necessary, further stretches of the CDRs and flanking FRs can be determined by amino acid sequencing of the H and L chain V region fragments. Such sequence analysis is now conducted routinely. This knowledge permits one to design synthetic primers for isolation of  $V_H$  and  $V_L$  genes from hybridoma cells that make monoclonal antibodies known to bind the c-erbB-2 or related antigen. These V genes will encode the Fv region that binds c-erbB-2 in the parent antibody.

Still another approach involves the design and construction of synthetic V genes that will encode an Fv binding site specific for c-erbB-2 or related receptors. For example, with the help of a computer program such as, for example, Compugene, and known variable region DNA sequences, one may design and directly synthesize native or near-native FR sequences from a first antibody molecule, and CDR sequences from a second antibody molecule. The  $V_H$  and  $V_L$  sequences described above are linked together directly via an amino acid chain or linker connecting the C-terminus of one chain with the N-terminus of the other.

These genes, once synthesized, may be cloned with or without additional DNA sequences coding for, e.g., a



leader peptide which facilitates secretion or intracellular stability of a fusion polypeptide, or a leader or trailing sequence coding for a second polypeptide. The genes then can be expressed directly in an appropriate host cell.

By directly sequencing an antibody to a c-erbB-2 or related antigen, or obtaining the sequence from the literature, in view of this disclosure, one skilled in the art can produce a single chain Fv comprising any desired CDR and FR. For example, using the DNA sequence for the 520C9 monoclonal antibody set forth in the Sequence Listing as SEQ ID NO:3, a single chain polypeptide can be produced having a binding affinity for a c-erbB-2 related antigen. Expressed sequences may be tested for binding and empirically refined by exchanging selected amino acids in relatively conserved regions, based on observation of trends in amino acid sequence data and/or computer modeling techniques. Significant flexibility in  $V_H$  and  $V_L$  design is possible because alterations in amino acid sequences may be made at the DNA level.

Accordingly, the construction of DNAs encoding the single-chain Fv and sFv fusion proteins of the invention can be done using known techniques involving the use of various restriction enzymes which make sequence-specific cuts in DNA to produce blunt ends or cohesive ends, DNA ligases, techniques enabling enzymatic addition of sticky ends to blunt-ended DNA, construction of synthetic DNAs by assembly of short or medium length oligonucleotides, cDNA synthesis techniques, and synthetic probes for isolating immunoglobulin genes. Various promoter sequences and other regulatory RNA sequences used in achieving expression, and various type of host cells are also known and available. Conventional transfection

techniques, and equally conventional techniques for cloning and subcloning DNA are useful in the practice of this invention and known to those skilled in the art. Various types of vectors may be used such as plasmids and viruses including animal viruses and bacteriophages. The vectors may exploit various marker genes which impart to a successfully transfected cell a detectable phenotypic property that can be used to identify which of a family of clones has successfully incorporated the recombinant DNA of the vector.

Of course, the processes for manipulating, amplifying, and recombining DNA which encode amino acid sequences of interest are generally well known in the art, and therefore, not described in detail herein. Methods of identifying the isolated V genes encoding antibody Fv regions of interest are well understood, and described in the patent and other literature. In general, the methods involve selecting genetic material coding for amino acid sequences which define the CDRs and FRs of interest upon reverse transcription, according to the genetic code.

One method of obtaining DNA encoding the single-chain Fv disclosed herein is by assembly of synthetic oligonucleotides produced in a conventional, automated, polynucleotide synthesizer followed by ligation with appropriate ligases. For example, overlapping, complementary DNA fragments comprising 15 bases may be synthesized semi-manually using phosphoramidite chemistry, with end segments left unphosphorylated to prevent polymerization during ligation. One end of the synthetic DNA is left with a "sticky end" corresponding to the site of action of a particular restriction endonuclease, and the other end is left with an end corresponding to the site of action of another restriction endonuclease. Alternatively, this approach

can be fully automated. The DNA encoding the single chain polypeptides may be created by synthesizing longer single strand fragments (e.g., 50-100 nucleotides long) in, for example, a Biosearch oligonucleotide synthesizer, and then ligating the fragments.

Additional nucleotide sequences encoding, for example, constant region amino acids or a bioactive molecule may also be linked to the gene sequences to produce a bifunctional protein.

For example, the synthetic genes and DNA fragments designed as described above may be produced by assembly of chemically synthesized oligonucleotides. 15-100mer oligonucleotides may be synthesized on a Biosearch DNA Model 8600 Synthesizer, and purified by polyacrylamide gel electrophoresis (PAGE) in Tris-Borate-EDTA buffer (TBE). The DNA is then electroeluted from the gel. Overlapping oligomers may be phosphorylated by T4 polynucleotide kinase and ligated into larger blocks which may also be purified by PAGE.

The blocks or the pairs of longer oligonucleotides may be cloned in E. coli using a suitable cloning vector, e.g., pUC. Initially, this vector may be altered by single-strand mutagenesis to eliminate residual six base altered sites. For example,  $V_H$  may be synthesized and cloned into pUC as five primary blocks spanning the following restriction sites: (1) EcoRI to first NarI site; (2) first NarI to XbaI; (3) XbaI to SalI; (4) SalI to NcoI; and (5) NcoI to BamHI. These cloned fragments may then be isolated and assembled in several three-fragment ligations and cloning steps into the pUC8 plasmid. Desired ligations, selected by PAGE, are then transformed into, for example, E. coli strain JM83, and plated onto LB

Ampicillin + Xgal plates according to standard procedures. The gene sequence may be confirmed by supercoil sequencing after cloning, or after subcloning into M13 via the dideoxy method of Sanger (Molecular Cloning, 1989, Sambrook et al., eds, 2d ed., Vol. 2, Cold Spring Harbor Laboratory Press, NY).

The engineered genes can be expressed in appropriate prokaryotic hosts such as various strains of E. coli, and in eucaryotic hosts such as Chinese hamster ovary cells (CHO), mouse myeloma, hybridoma, transfectoma, and human myeloma cells.

If the gene is to be expressed in E. coli, it may first be cloned into an expression vector. This is accomplished by positioning the engineered gene downstream from a promoter sequence such as Trp or Tac, and a gene coding for a leader polypeptide such as fragment B (FB) of staphylococcal protein A. The resulting expressed fusion protein accumulates in refractile bodies in the cytoplasm of the cells, and may be harvested after disruption of the cells by French press or sonication. The refractile bodies are solubilized, and the expressed fusion proteins are cleaved and refolded by the methods already established for many other recombinant proteins (Huston et al, 1988, supra) or, for direct expression methods, there is no leader and the inclusion bodies may be refolded without cleavage (Huston et al, 1991, Methods in Enzymology, vol 203, pp 46-88).

For example, subsequent proteolytic cleavage of the isolated sFv from their leader sequence fusions can be performed to yield free sFvs, which can be renatured to obtain an intact biosynthetic, hybrid antibody binding site. The cleavage site preferably is immediately adjacent the sFv polypeptide and includes one amino acid or a sequence of amino acids exclusive

of any one amino acid or amino acid sequence found in the amino acid structure of the single polypeptide chain.

The cleavage site preferably is designed for specific cleavage by a selected agent. Endopeptidases are preferred, although non-enzymatic (chemical) cleavage agents may be used. Many useful cleavage agents, for instance, cyanogen bromide, dilute acid, trypsin, Staphylococcus aureus V-8 protease, post-proline cleaving enzyme, blood coagulation Factor Xa, enterokinase, and renin, recognize and preferentially or exclusively cleave at particular cleavage sites. One currently preferred peptide sequence cleavage agent is V-8 protease. The currently preferred cleavage site is at a Glu residue. Other useful enzymes recognize multiple residues as a cleavage site, e.g., factor Xa (Ile-Glu-Gly-Arg) or enterokinase (Asp-Asp-Asp-Asp-Lys). Dilute acid preferentially leaves the peptide bond between Asp-Pro residues, and CNBr in acid cleaves after Met, unless it is followed by Tyr.

If the engineered gene is to be expressed in eucaryotic hybridoma cells, the conventional expression system for immunoglobulins, it is first inserted into an expression vector containing, for example, the immunoglobulin promoter, a secretion signal, immunoglobulin enhancers, and various introns. This plasmid may also contain sequences encoding another polypeptide such as all or part of a constant region, enabling an entire part of a heavy or light chain to be expressed, or at least part of a toxin, enzyme, cytokine, or hormone. The gene is transfected into myeloma cells via established electroporation or protoplast fusion methods. Cells so transfected may then express  $V_H$ -linker- $V_L$  or  $V_L$ -linker- $V_H$  single-chain Fv polypeptides, each of which may be attached in the

various ways discussed above to a protein domain having another function (e.g., cytotoxicity).

For construction of a single contiguous chain of amino acids specifying multiple binding sites, restriction sites at the boundaries of DNA encoding a single binding site (i.e.,  $V_H$ -linker- $V_L$ ) are utilized or created, if not already present. DNAs encoding single binding sites are ligated and cloned into shuttle plasmids, from which they may be further assembled and cloned into the expression plasmid. The order of domains will be varied and spacers between the domains provide flexibility needed for independent folding of the domains. The optimal architecture with respect to expression levels, refolding and functional activity will be determined empirically. To create bivalent sFv's, for example, the stop codon in the gene encoding the first binding site is changed to an open reading frame, and several glycine plus serine codons including a restriction site such as BamHI (encoding Gly-Ser) or XhoI (encoding Gly-Ser-Ser) are put in place. The second sFv gene is modified similarly at its 5' end, receiving the same restriction site in the same reading frame. The genes are combined at this site to produce the bivalent sFv gene.

Linkers connecting the C-terminus of one domain to the N-terminus of the next generally comprise hydrophilic amino acids which assume an unstructured configuration in physiological solutions and preferably are free of residues having large side groups which might interfere with proper folding of the  $V_H$ ,  $V_L$ , or pendant chains. One useful linker has the amino acid sequence  $[(Gly)_4Ser]_3$  (see SEQ ID NOS:5 and 6, residue numbers 121-135). One currently preferred linker has the amino acid sequence comprising 2 or 3 repeats of  $[(Ser)_4Gly]_2$  such as  $[(Ser)_4Gly]_3$  (see SEQ ID NOS:3 and 4).

The invention is illustrated further by the following non-limiting Examples.

#### EXAMPLES

##### 1. Antibodies to c-erbB-2 Related Antigens

Monoclonal antibodies against breast cancer have been developed using human breast cancer cells or membrane extracts of the cells for immunizing mice, as described in Frankel et al. (1985) J. Biol. Resp. Modif. 4:273-286, hereby incorporated by reference. Hybridomas have been made and selected for production of antibodies using a panel of normal and breast cancer cells. A panel of eight normal tissue membranes, a fibroblast cell line, and frozen sections of breast cancer tissues were used in the screening. Candidates that passed the first screening were further tested on 16 normal tissue sections, 5 normal blood cell types, 11 nonbreast neoplasm sections, 21 breast cancer sections, and 14 breast cancer cell lines. From this selection, 127 antibodies were selected. Irrelevant antibodies and nonbreast cancer cell lines were used in control experiments.

Useful monoclonal antibodies were found to include 520C9, 454C11 (A.T.C.C. Nos. HB8696 and HB8484, respectively) and 741F8. Antibodies identified as selective for breast cancer in this screen reacted against five different antigens. The sizes of the antigens that the antibodies recognize: 200 kD; a series of proteins that are probably degradation products with Mr's of 200 kD, 93kD, 60 kD, and 37 kD; 180 kD (transferrin receptor); 42 kD; and 55 kD, respectively. Of the antibodies directed against the five classes of antigens, the most specific are the ones directed against the 200 kD antigen, 520C9 being a representative antibody for that antigen class. 520C9

reacts with fewer breast cancer tissues (about 20-70% depending on the assay conditions) and it reacts with the fewest normal tissues of any of the antibodies. 520C9 reacts with kidney tubules (as do many monoclonal antibodies), but not pancreas, esophagus, lung, colon, stomach, brain, tonsil, liver, heart, ovary, skin, bone, uterus, bladder, or normal breast among some of the tissues tested.

## 2. Preparation of cDNA Library Encoding 520C9 Antibody.

Polyadenylated RNA was isolated from approximately  $1 \times 10^8$  (520C9 hybridoma) cells using the "FAST TRACK" mRNA isolation kit from Invitrogen (San Diego, CA). The presence of immunoglobulin heavy chain RNA was confirmed by Northern analysis (Molecular Cloning, 1989, Sambrook et al., eds., 2d ed., Cold Spring Harbor Laboratory Press, NY) using a recombinant probe containing the various J regions of heavy chain genomic DNA. Using 6  $\mu$ g RNA for each, cDNA was prepared using the Invitrogen cDNA synthesis system with either random and oligo dT primers. Following synthesis, the cDNA was size-selected by isolating 0.5-3.0 Kilobase (Kb) fragments following agarose gel electrophoresis. After optimizing the cDNA to vector ratio, these fragments were then ligated to the pcDNA II Invitrogen cloning vector.

## 3. Isolation of $V_H$ and $V_L$ Domains

After transformation of the bacteria with plasmid library DNA, colony hybridization was performed using antibody constant (C) region and joining (J) region probes for either light or heavy chain genes. See Orlandi, R., et al., 1989, Proc. Nat. Aca. Sci. 86:3833. The antibody constant region probe can be obtained from any of light or heavy chain nucleotide sequences from an immunoglobulin gene using known



procedures. Several potential positive clones were identified for both heavy and light chain genes and, after purification by a second round of screening, these were sequenced. One clone (M207) contained the sequence of non-functional Kappa chain which has a tyrosine substituted for a conserved cysteine, and also terminates prematurely due to a 4 base deletion which causes a frame-shift mutation in the variable-J region junction. A second light chain clone (M230) contained virtually the entire 520C9 light chain gene except for the last 18 amino acids of the constant region and approximately half of the signal sequence. The 520C9 heavy chain variable region was present on a clone of approximately 1,100 base pairs (F320) which ended near the end of the CH2 domain.

#### 4. Mutagenesis of V<sub>H</sub> AND V<sub>L</sub>

In order to construct the sFv, both the heavy and light chain variable regions were mutagenized to insert appropriate restriction sites (Kunkel, T.A., 1985, Proc. Nat. Acad. Sci. USA 82:1373). The heavy chain clone (F320) was mutagenized to insert a BamHI site at the 5' end of V<sub>H</sub> (F321). The light chain was also mutagenized simultaneously by inserting an EcoRI site at the 5' end and a PstI site with a translation stop codon at the 3' end of the variable region (M231).

#### 5. Sequencing

cDNA clones encoding light and heavy chain were sequenced using external standard pUC primers and several specific internal primers which were prepared on the basis of the sequences obtained for the heavy chain. The nucleotide sequences were analyzed in a Genbank homology search (program Mucscan of DNA-star) to eliminate endogenous immunoglobulin genes. Translation into amino acids was checked with amino acid sequences in the NIH atlas edited by E. Kabat.

Amino acid sequences derived from 520C9 immunoglobulin confirmed the identity of these  $V_H$  and  $V_L$  cDNA clones. The heavy chain clone pF320 started 6 nucleotides upstream of the first ATG codon and extended into the CH2-encoding region, but it lacked the last nine amino acid codons of the CH2 constant domain and all of the CH3 coding region, as well as the 3' untranslated region and the poly A tail. Another short heavy chain clone containing only the CH2 and CH3 coding regions, and the poly A tail was initially assumed to represent the missing part of the 520C9 heavy chain. However, overlap between both sequences was not identical. The 520C9 clone (pF320) encodes the CH1 and CH2 domains of murine IgG1, whereas the short clone pF315 encodes the CH2 and CH3 of IgG2b.

#### 6. Gene Design

A nucleic acid sequence encoding a composite 520C9 sFv region containing a single-chain Fv binding site which recognizes c-erbB-2 related tumor antigens was designed with the aid of Compugene software. The gene contains nucleic acid sequences encoding the  $V_H$  and  $V_L$  regions of the 520C9 antibody described above linked together with a double-stranded synthetic oligonucleotide coding for a peptide with the amino acid sequence set forth in the Sequence Listing as amino acid residue numbers 116 through 133 in SEQ ID NOS:3 and 4. This linker oligonucleotide contains helper cloning sites EcoRI and BamHI, and was designed to contain the assembly sites SacI and AatII near its 5' and 3' ends, respectively. These sites enable match-up and ligation to the 3' and 5' ends of 520C9  $V_H$  and  $V_L$ , respectively, which also contain these sites ( $V_H$ -linker- $V_L$ ). However, the order of linkage to the oligonucleotide may be reversed ( $V_L$ -linker- $V_H$ ) in this or any sFv of the invention. Other restriction sites

were designed into the gene to provide alternative assembly sites. A sequence encoding the FB fragment of protein A was used as a leader.

The invention also embodies a humanized single-chain Fv, i.e., containing human framework sequences and CDR sequences which specify c-erbB-2 binding, e.g., like the CDRs of the 520C9 antibody. The humanized Fv is thus capable of binding c-erbB-2 while eliciting little or no immune response when administered to a patient. A nucleic acid sequence encoding a humanized sFv may be designed and constructed as follows. Two strategies for sFv design are especially useful. A homology search in the GenBank database for the most related human framework (FR) regions may be performed and FR regions of the sFv may be mutagenized according to sequences identified in the search to reproduce the corresponding human sequence; or information from computer modeling based on x-ray structures of model Fab fragments may be used (Amit et al., 1986, Science 233:747-753; Colman et al., 1987, Nature 326:358-363; Sheriff et al., 1987, Proc. Nat. Aca. Sci., 84:8075-8079; and Satow et al., 1986, J. Mol. Biol. 190:593-604, all of which are hereby incorporated by reference). In a preferred case, the most homologous human  $V_H$  and  $V_L$  sequences may be selected from a collection of PCR-cloned human V regions. The FRs are made synthetically and fused to CDRs to make successively more complete V regions by PCR-based ligation, until the full humanized  $V_L$  and  $V_H$  are completed. For example, a humanized sFv that is a hybrid of the murine 520C9 antibody CDRs and the human myeloma protein NEW FRs can be designed such that each variable region has the murine binding site within a human framework (FR1-CDR1-FR2-CDR2-FR3-CDR3-FR4). The Fab NEW crystal structure (Saul et al., 1978, J. Biol.

Chem. 253:585-597) also may be used to predict the location of FRs in the variable regions. Once these regions are predicted, the amino acid sequence or the corresponding nucleotide sequence of the regions may be determined, and the sequences may be synthesized and cloned into shuttle plasmids, from which they may be further assembled and cloned into an expression plasmid; alternatively, the FR sequences of the 520C9 sFv may be mutagenized directly and the changes verified by supercoil sequencing with internal primers (Chen et al., 1985, DNA 4:165-170).

7. Preparation of and Purification 520C9 sFv

A. Inclusion Body Solubilization.

The 520C9 sFv plasmid, based on a T<sub>7</sub> promoter and vector, was made by direct expression in E. coli of the fused gene sequence set forth in the Sequence Listing as SEQ. ID NO:3. Inclusion bodies (15.8 g) from a 2.0 liter fermentation were washed with 25 mM Tris, 10 mM EDTA, pH 8.0 (TE), plus 1 M guanidine hydrochloride (GuHCl). The inclusion bodies were solubilized in TE, 6 M GuHCl, 10 mM dithiothreitol (DTT), pH 9.0, and yielded 3825 A<sub>280</sub> units of material. This material was ethanol precipitated, washed with TE, 3M urea, then resuspended in TE, 8M urea, 10 mM DTT, pH 8.0. This precipitation step prepared the protein for ion exchange purification of the denatured sFv.

B. Ion Exchange Chromatography

The solubilized inclusion bodies were subjected to ion exchange chromatography in an effort to remove contaminating nucleic acids and E. coli proteins before renaturation of the sFv. The solubilized inclusion bodies in 8M urea were diluted with TE to a final urea concentration of 6M, then passed through 100 ml of DEAE-Sepharose Fast Flow in a radial flow column. The sFv was recovered in the unbound fraction (69% of the starting sample).

The pH of this sFv solution ( $A_{280} = 5.7$ ; 290 ml) was adjusted to 5.5 with 1 M acetic acid to prepare it for application to an S-Sepharose Fast Flow column. When the pH went below 6.0, however, precipitate formed in the sample. The sample was clarified; 60% of the sample was in the pellet and 40% in the supernatant. The supernatant was passed through 100 ml S-Sepharose Fast Flow and the sFv recovered in the unbound fraction. The pellet was resolubilized in TE, 6 M GuHCl, 10 mM DTT, pH 9.0, and was also found to contain primarily sFv in a pool of 45 ml volume with an absorbance at 280 nm of 20 absorbance units. This reduced sFv pool was carried through the remaining steps of the purification.

#### C. Renaturation of sFv

Renaturation of the sFv was accomplished using a disulfide-restricted refolding approach, in which the disulfides were oxidized while the sFv was fully denatured, followed by removal of the denaturant and refolding. Oxidation of the sFv samples was carried out in TE, 6 M GuHCl, 1 mM oxidized glutathione (GSSG), 0.1 mM reduced glutathione (GSH), pH 9.0. The sFv was diluted into the oxidation buffer to a final protein  $A_{280} = 0.075$  with a volume of 4000 ml and incubated overnight at room temperature. After overnight oxidation this solution was dialyzed against 10 mM sodium phosphate, 1 mM EDTA, 150 mM NaCl, 500 mM urea, pH 8.0 (PENU) [4 x (20 liters X 24 hrs)]. Low levels of activity were detected in the refolded sample.

#### D. Membrane Fractionation and Concentration of Active sFv

In order to remove aggregated misfolded material before any concentration step, the dialyzed refolded 520C9 sFv (5050 ml) was filtered through a 100K MWCO membrane (100,000 mol. wt. cut-off) (4 x 60 cm<sup>2</sup>) using

a Minitan ultrafiltration device (Millipore). This step required a considerable length of time (9 hours), primarily due to formation of precipitate in the retentate and membrane fouling as the protein concentration in the retentate increased. 95% of the protein in the refolded sample was retained by the 100K membranes, with 79% in the form of insoluble material. The 100K retentate had very low activity and was discarded.

The 100K filtrate contained most of the soluble sFv activity for binding c-erbB-2, and it was next concentrated using 10K MWCO membranes (10,000 mol. wt. cut-off) (4 x 60 cm<sup>2</sup>) in the Minitan, to a volume of 100 ml (50X). This material was further concentrated using a YM10 10K MWCO membrane in a 50 ml Amicon stirred cell to a final volume of 5.2 ml (1000X). Only a slight amount of precipitate formed during the two 10K concentration steps. The specific activity of this concentrated material was significantly increased relative to the initial dialyzed refolding.

#### E. Size Exclusion Chromatography of Concentrated sFv

When refolded sFv was fractionated by size exclusion chromatography, all 520C9 sFv activity was determined to elute at the position of folded monomer. In order to enrich for active monomers, the 1000X concentrated sFv sample was fractionated on a Sephacryl S-200 HR column (2.5 x 40 cm) in PBSA (2.7 mM KCl, 1.1 mM KH<sub>2</sub>PO<sub>4</sub>, 138 mM NaCl, 8.1 mM Na<sub>2</sub>HPO<sub>4</sub> · 7H<sub>2</sub>O, 0.02% NaN<sub>3</sub>) + 0.5 M urea. The elution profile of the column and SDS-PAGE analysis of the fractions showed two sFv monomer peaks. The two sFv monomer peak fractions were pooled (10 ml total) and displayed c-erbB-2 binding activity in competition assays.

#### F. Affinity Purification of 520C9 sFv

The extracellular domain of (ECD) c-erbB-2 was expressed in baculovirus-infected insect cells. This protein (ECD c-erbB-2) was immobilized on an agarose affinity matrix. The sFv monomer peak was dialyzed against PBSA to remove the urea and then applied to a 0.7 x 4.5 cm ECD c-erbB-2-agarose affinity column in PBSA. The column was washed to baseline  $A_{280}$ , then eluted with PBSA + 3 M LiCl, pH = 6.1. The peak fractions were pooled (4 ml) and dialyzed against PBSA to remove the LiCl. 72  $\mu$ g of purified sFv was obtained from 750  $\mu$ g of S-200 monomer fractions. Activity measurements on the column fractions were determined by a competitive assay. Briefly, sFv affinity purification fractions and HRP-conjugated 520C9 Fab fragments were allowed to compete for binding to SK-BR-3 membranes. Successful binding of the sFv preparation prevented the HRP-52069 Fab fragment from binding to the membranes, thus also reducing or preventing utilization of the HRP substrate, and no color development (see below for details of competition assay). The results showed that virtually all of the sFv activity was bound by the column and was recovered in the eluted peak (Figure 4). As expected, the specific activity of the eluted peak was increased relative to the column sample, and appeared to be essentially the same as the parent Fab control, within the experimental error of these measurements.

#### 9. Yield After Purification.

Table I shows the yield of various 520C9 preparations during the purification process. Protein concentration ( $\mu$ g/ml) was determined by the BioRad protein assay. Under "Total Yield", 300 AU denatured sFv stock represents 3.15 g inclusion bodies from 0.4 liters fermentation. The oxidation buffer was 25 mM

Tris, 10 mM EDTA, 6 M GdnHCl, 1 mM GSSG, 0.1 mM GSH, pH 9.0. Oxidation was performed at room temperature overnight. Oxidized sample was dialyzed against 10 mM sodium phosphate, 1 mM EDTA, 150 mM NaCl, 500 mM urea, pH 8.0. All subsequent steps were carried out in this buffer, except for affinity chromatography, which was carried out in PBSA.



Table I

<u>Sample</u>	<u>Volume</u>	<u>Protein Concentration</u>	<u>Total Yield</u>	<u>% Yield</u>
1. Refolding III (oxidation)	4000 ml	0.075 A <sub>280</sub>	300 AU	-
2. Dialyzed Refolding III	5050 ml	38 µg/ml	191.9 mg	100
3. Minitan 100K Filtrate	5000 ml	2 µg/ml	10.0 mg	5.4
4. Minitan 10K Retentate	100 ml	45 µg/ml	4.5 mg	2.3
6. YM10 10K Retentate	5.2 ml	600 µg/ml	3.1 mg	1.6
7. S-200 sFv Monomer Peak	10.0 ml	58 µg/ml	0.58 mg	0.3
8. Affinity Purified sFv	5.5 ml	13 µg/ml	0.07 mg	0.04

#### 10. Immunotoxin Construction

The ricin A-520C9 single chain fused immunotoxin (SEQ. ID NO:7) encoding gene was constructed by isolating the gene coding for ricin A on a HindIII to BamHI fragment from pPL229 (Cetus Corporation, Emeryville, CA) and using it upstream of the 520C9 sFv in pH777, as shown in FIG. 3. This fusion contains the 122 amino acid natural linker present between the A and B domains of ricin. However, in the original pRAP229 expression vector the codon for amino acid 268 of ricin was converted to a TAA translation stop codon so that the expression of the resulting gene produces only ricin A. Therefore, in order to remove the translation stop codon, site-directed mutagenesis was performed to remove the TAA and restore the natural serine codon. This then allows translation to continue through the entire immunotoxin gene.

In order to insert the immunotoxin back into the pPL229 and pRAP229 expression vectors, the PstI site at the end of the immunotoxin gene had to be converted to a sequence that was compatible with the BamHI site in vector. A synthetic oligonucleotide adaptor containing a BclI site nested between PstI ends was inserted. BclI and BamHI ends are compatible and can be combined into a hybrid BclI/BamHI site. Since BclI nuclease is sensitive to dam methylation, the construction first was transformed into a dam(-) *E. coli* strain, Gm48, in order to digest the plasmid DNA with BclI (and HindIII), then insert the entire immunotoxin gene on a HindIII/BclI fragment back into both Hind III/BamHI-digested expression vectors.

When native 520C9 IgG1 is conjugated with native ricin A chain or recombinant ricin A chain, the resulting immunotoxin is able to inhibit protein

synthesis by 50% at a concentration of about  $0.4 \times 10^{-9}$  M against SK-Br-3 cells. In addition to reacting with SK-Br-3 breast cancer cells, native 520C9 IgG1 immunotoxin also inhibits an ovarian cancer cell line, OVCAR-3, with a  $ID_{50}$  of  $2.0 \times 10^{-9}$  M.

In the ricin A-sFv fusion protein described above, ricin acts as leader for expression, i.e., is fused to the amino terminus of sFv. Following direct expression, soluble protein was shown to react with antibodies against native 520C9 Fab and also to exhibit ricin A chain enzymatic activity.

In another design, the ricin A chain is fused to the carboxy terminus of sFv. The 520C9 sFv may be secreted via the PelB signal sequence with ricin A chain attached to the C-terminus of sFv. For this construct, sequences encoding the PelB-signal sequence, sFv, and ricin are joined in a bluescript plasmid via a HindIII site directly following sFv (in our expression plasmids) and the HindIII site preceding the ricin gene, in a three part assembly (RI-HindIII-BamHI). A new PstI site following the ricin gene is obtained via the Bluescript polylinker. Mutagenesis of this DNA removes the stop codon and the original PstI site at the end of sFv, and places several serine residues between the sFv and ricin genes. This new gene fusion, PelB signal sequence/sFv/ricin A, can be inserted into expression vectors as an EcoRI/PstI fragment.

In another design, the pseudomonas exotoxin fragment analogous to ricin A chain, PE40, is fused to the carboxy terminus of the anti-c-erbB-2 741F8 sFv (Seq ID NOS: 15 and 16). The resulting 741F8 sFv-PE40 is a single-chain Fv-toxin fusion protein, which was constructed with an 18 residue short FB leader which initially was left on the protein. E. coli expression of this protein produced inclusion bodies that were

refolded in a 3 M urea glutathione/redox buffer. The resulting sFv-PE40 was shown to specifically kill c-erbB-2 bearing cells in culture more fully and with apparently better cytotoxicity than the corresponding crosslinked immunotoxin. The sFv-toxin protein, as well as the 741F8 sFv, can be made in good yields by these procedures, and may be used as therapeutic and diagnostic agents for tumors bearing the c-erbB-2 or related antigens, such as breast and ovarian cancer.

#### 11. Assays

##### A. Competition ELISA

SK-Br-3 extract is prepared as a source of c-erbB-2 antigen as follows. SK-Br-3 breast cancer cells (Ring et al. 1989, Cancer Research 49:3070-3080), are grown to near confluence in Iscove's medium (Gibco BRL, Gaithersburg, Md.) plus 5% fetal bovine serum and 2 mM glutamine. The medium is aspirated, and the cells are rinsed with 10 ml fetal bovine serum (FBS) plus calcium and magnesium. The cells are scraped off with a rubber policeman into 10 ml FBS plus calcium and magnesium, and the flask is rinsed out with another 5 ml of this buffer. The cells are then centrifuged at 100 rpm. The supernate is aspirated off, and the cells are resuspended at  $10^7$  cells/ml in 10 mM NaCl, 0.5% NP40, pH 8 (TNN buffer), and are pipetted up and down to dissolve the pellet. The solution is then centrifuged at 1000 rpm to remove nuclei and other insoluble debris. The extract is filtered through 0.45 Millex HA and 0.2 Millex Gv filters. The TNN extract is stored as aliquots in Wheaton freezing vials at -70°C.

A fresh vial of SK-Br-3 TNN extract is thawed and diluted 200-fold into deionized water. Immediately thereafter, 40ug per well are added to a Dynatech PVC 96 well plak, which is allowed to sit overnight in a

37°C dry incubator. The plates are washed four times in phosphate buffered saline (PBS), 1% skim milk, 0.05% Tween 20.

The non-specific binding sites are blocked as follows. When the plate is dry, 100 ug per well PBS is added containing 1% skim milk, and the incubation allowed to proceed for one hour at room temperature.

The single-chain Fv test samples and standard 520C9 whole antibody dilutions are then added as follows. 520C9 antibody and test samples are diluted in dilution buffer (PBS + 1% skim milk) in serial two-fold steps, initially at 50ug/ml and making at least 10 dilutions for 520C9 standards. A control containing only dilution buffer is included. The diluted samples and standards are added at 50ul per well and incubated for 30 minutes at room temperature.

The 520C9-horseradish peroxidase (HRP) probe is added as follows. 520C9-HRP conjugate (Zymed Labs., South San Francisco, California) is diluted to 14 ug/ml with 1% skim milk in dilution buffer. The optimum dilutions must be determined for each new batch of peroxidase conjugate without removing the previous steps. 20 ul per well of probe was added and incubated for one hour at room temperature. The plate is then washed four times in PBS. The peroxidase substrate is then added. The substrate solution should be made fresh for each use by diluting tetramethyl benzidine stock (TMB; 2mg/ml in 100% ethanol) 1:20 and 3% hydrogen peroxide stock 1:2200 in substrate buffer (10mM sodium acetate, 10mM Na, EDTA, pH 5.0). This is incubated for 30 minutes at room temperature. The wells are then quenched with 100 ul per well 0.8 M  $\text{H}_2\text{SO}_4$  and the absorbance at 150 nm read.

FIG. 4 compares the binding ability of the parent refolded but unpurified 520C9 monoclonal antibody, 520C9 Fab fragments, and the 520C9 sFv single-chain binding site after binding and elution from an affinity column (eluted) or the unbound flow through fraction (passed). In Fig. 4, the fully purified 520C9 sFv exhibits an affinity for c-erbB-2 that is indistinguishable from the parent monoclonal antibody, within the error of measuring protein concentration.

B. In vivo testing

Immunotoxins that are strong inhibitors of protein synthesis against breast cancer cells grown in culture may be tested for their in vivo efficacy. The in vivo assay is typically done in a nude mouse model using xenografts of human MX-1 breast cancer cells. Mice are injected with either PBS (control) or different concentrations of sFv-toxin immunotoxin, and a concentration-dependent inhibition of tumor growth will be observed. It is expected that higher doses of immunotoxin will produce a better effect.

The invention may be embodied in other specific forms without departing from the spirit and scope thereof. The present embodiments are therefore to be considered in all respects as illustrative and not restrictive, the scope of the invention being indicated by the appended claims rather than by the foregoing description, and all changes which come within the meaning and range of equivalence of the claims are intended to be embraced therein.